



UPPSALA  
UNIVERSITET

# Harvest Time

## Explorations of the Swedish Treebank

Joakim Nivre

Uppsala University  
Department of Linguistics and Philology

Thanks to Lars Ahrenberg, Evelina Andersson, Lars Borin, Elisabet Engdahl, Eva Forsbom, Sofia Gustafson-Čapková, Johan Hall, Janne Bondi Johannessen, Beáta Megyesi, Jens Nilsson, Filip Salomonsson, Anna Sångvall Hein, Reut Tsarfaty



# A Personal TLT History

**Sozopol, 2002** *What kinds of trees grow in Swedish soil?*

**Växjö, 2003** *Theory-supporting treebanks*

Failed attempts to provide funding for a Swedish treebank ☹️

**Barcelona, 2005** *MaltParser: A language-independent system for data-driven dependency parsing*

More failed attempts to provide funding for a Swedish treebank ☹️

**Bergen, 2007** *Bootstrapping a Swedish treebank through cross-corpus harmonization and annotation projection*

Somewhat successful attempts to bootstrap a Swedish treebank 😊

**Tartu, 2010** *Harvest time – what trees did in fact grow?*



# Swedish Treebank 1.1



A low-budget treebank based on recycling:

- Talbanken
- The Stockholm-Umeå Corpus (SUC)

Two types of syntactic annotation:

- Phrase structure and grammatical functions
- Dependency structure

Availability:

- Free for research and education
- License required for SUC data
- Distributed by the Swedish Language Bank  
(<http://spraakbanken.gu.se/eng/stb>)



# Outline of the Talk

## The treebank:

- The raw material: **Talbanken** and **SUC**
- The recycling process
- The end result: **Swedish Treebank**

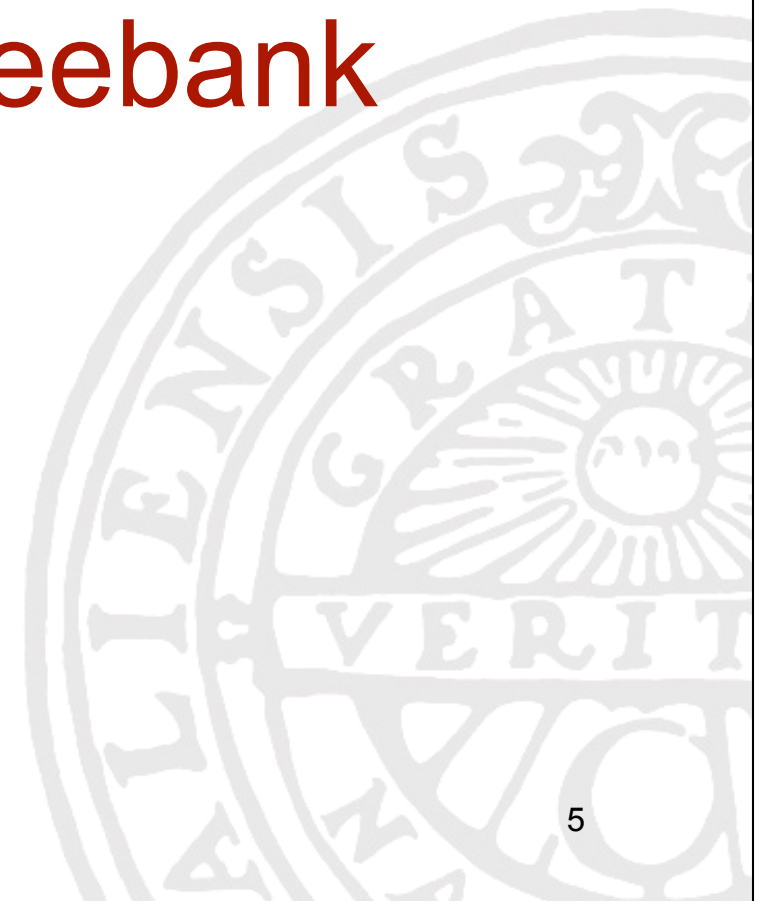
## Explorations:

- Experiments in data-driven parsing
- Cross-framework parser evaluation



UPPSALA  
UNIVERSITET

# Swedish Treebank





# The Swedish Treebank Project

Treebanking by recycling existing corpora:

- **Talbanken** – largest treebank (100k tokens)
- **SUC** – largest annotated corpus (1.2M tokens)
- Merge, harmonize and project missing annotation

Collaboration between two projects:

- **Methods and Tools for Grammar Extraction**  
(Uppsala University)
- **Inductive Dependency Parsing**  
(Växjö University)



# Talbanken

- Team led by Ulf Teleman, Lund University, 1970s
- Written and spoken Swedish (350k tokens)
  - Professional prose section (100k tokens)
- Annotation according to **MAMBA** [Teleman 1974]:
  - **Lexical**: parts of speech (**PoS**) + morphosyntactic features (**MSF**)
  - **Syntactic**: grammatical functions (**GF**)

\*GENOM  
SKATTEREFORMEN  
INFÖRS  
INDIVIDUELL  
BESKATTNING  
AV  
ARBETSINKOMSTER  
.

Lexical annotation      Syntactic annotation

PR		AAPR
NNDDSS		AA
VVPSSMPA		FV
AJ		SSAT
VN		SS
PR		SSETPR
NN	SS	SSET
IP		IP



# SUC

- Team led by Eva Ejerhed and Gunnel Källgren, 1990s
- Balanced corpus of written Swedish (1.2 million tokens)
- Annotation [Ejerhed et al. 1992]:
  - Parts of speech (PoS) + morphosyntactic features (MSF)
  - Lemmas
  - Named entities (SUC 2.0)

```
<s id=fh06-089>
  <w n=1488>På<ana><ps>PP<b>på</w>
  <w n=1489>1940-talet<ana><ps>NN<m>NEU SIN DEF NOM<b>1940-tal</w>
  <w n=1490>byggde<ana><ps>VB<m>PRT AKT<b>bygga</w>
  <NAME TYPE=PERSON>
  <w n=1491>John<ana><ps>PM<m>NOM<b>John</w>
  <w n=1492>von<ana><ps>PM<m>NOM<b>von</w>
  <w n=1493>Neumann<ana><ps>PM<m>NOM<b>Neumann</w>
  </NAME>
  <w n=1494>datamaskiner<ana><ps>NN<m>UTR PLU IND NOM<b>datamaskin</w>
  <d n=1495>.<ana><ps>MAD<b>.</d>
</s>
```

Part of speech

Morphosyntactic features

Named entity

Lemma





# Methodology

## Overall strategy:

- **Keep SUC intact, modify Talbanken!**
  - SUC is the larger corpus (minimize effort)
  - The SUC annotation scheme is a de facto standard
- **Exception:** Syntactic annotation

## Major steps:

- Tokenization and sentence segmentation:
  - Make Talbanken conform to the principles of SUC
- Morphological annotation (**PoS** + **MSF**):
  - Reannotate Talbanken using a tagger trained on SUC
- Syntactic annotation:
  - Add phrase structure (**PS**) to Talbanken annotation
  - Annotate SUC using a parser trained on Talbanken
  - Derive dependency structure (**DS**) from **PS+GF**



# Morphological Annotation

## Reannotation of Talbanken:

- TnT tagger [Brants 2000]
- Self-training using SUC [Forsbom 2006]
- Estimated accuracy: **97.0%**

## Transverse manual validation:

- Function words by word form
- Content words by PoS category

## Speed-ups thanks to old annotation:

- Ambiguous forms: **men** (366 **KN**, 1 **NN**)
- Inflection vs. derivation: **AB/JJ**



# Syntactic Annotation

## Step 1: Enriching the MAMBA annotation

- Extract implicit **PS+GF**
- Insert additional structure (PP, VP, Coord)
- Infer nonterminal labels in **PS**

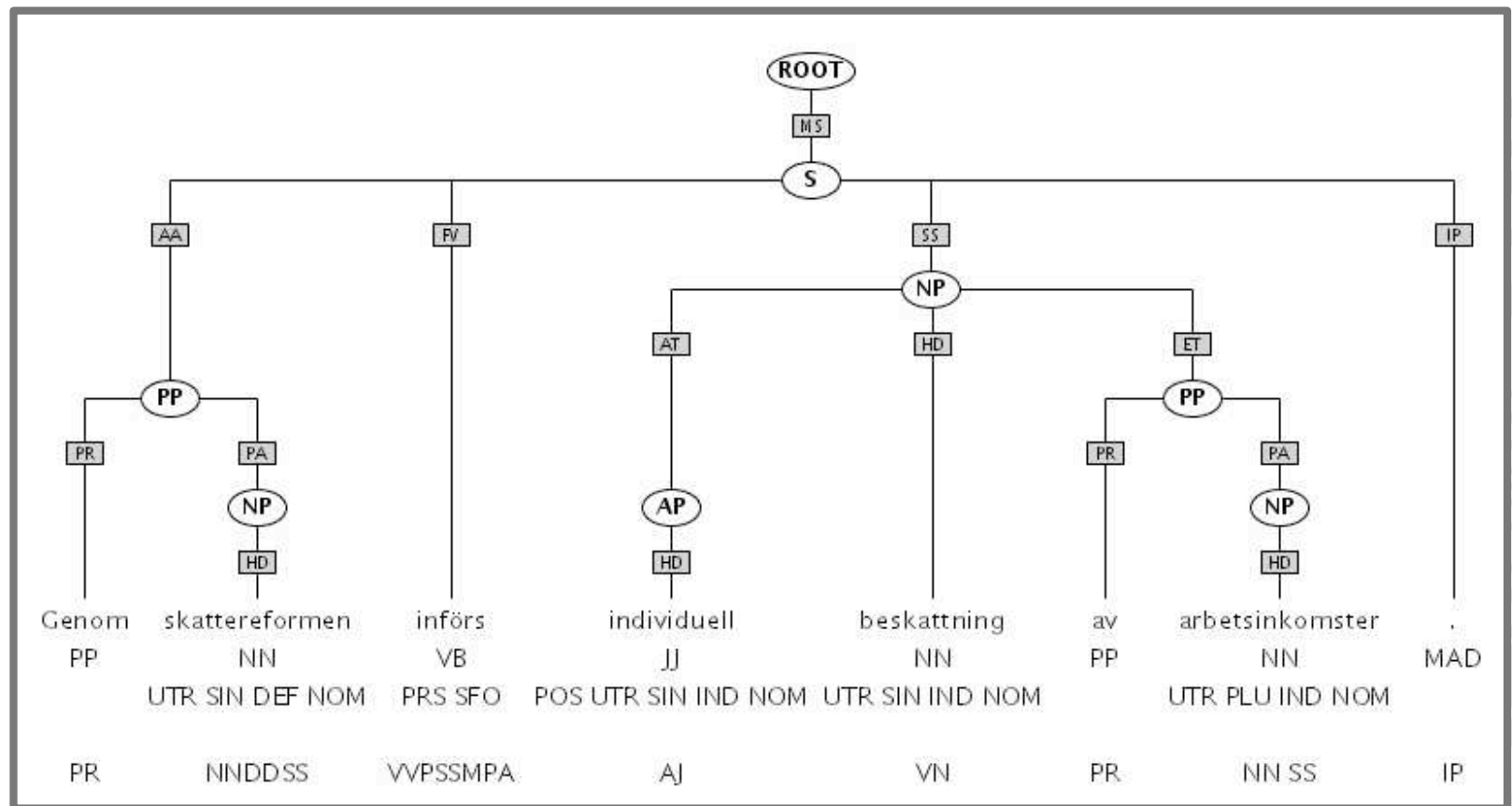
\*GENOM  
SKATTEREFORMEN  
INFÖRS  
INDIVIDUELL  
BESKATTNING  
AV  
ARBETSINKOMSTER  
.

PR                    A A P R  
NNDDSS            A A P A H D  
VVPSSMPA        F V  
AJ                    S S A T  
VN                   S S H D  
PR                   S S E T P R  
NN    SS            S S E T P A H D  
IP                    I P



# Syntactic Annotation

The resulting PS+GF tree (Tiger-XML):





# Syntactic Annotation

## PS labels (8):

- **ROOT, S, NP, VP, AP, AVP, PP, XP**

## GF labels (65):

- Predicate (4): end in **V** (verbal) or **P** (nonverbal)
- Subject (4): end in **S**; default **SS**
- Object (5): end in **O**; default **OO**
- Adverbial (12): end in **A**; default **AA**
- Coordination (4)
- Other GF (22)
- Punctuation (14)



# Syntactic Annotation

## Step 2: Parsing SUC

- MaltParser for **PS+GF** [Hall 2008a, 2008b]
- Trained on Talbanken's enriched annotation
- Estimated accuracy: **65%** labeled  $F_1$

## Step 3: Validation

- Talbanken:
  - Manual correction of special test set (20k tokens)
- SUC:
  - Manual correction of special test set (20k tokens)
  - Automatic flagging of “suspicious structures”



# Syntactic Annotation

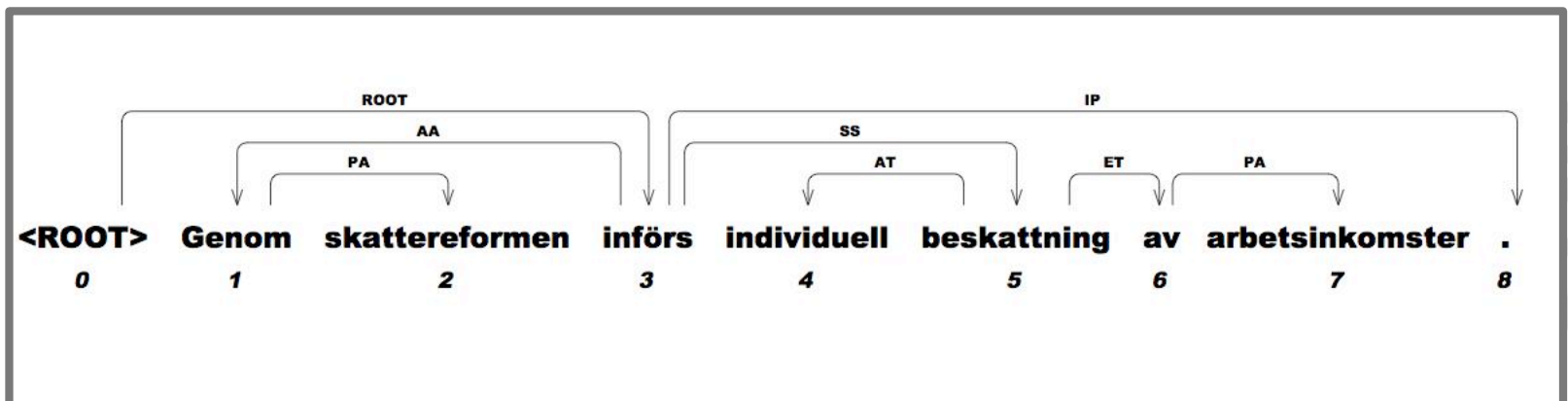
## Step 4: Deriving dependency structures

- Structural conversion:
  - Head-finding rules based on GF labels:
    - If coordination, take conjunction (++) as head
    - Else use phrase-specific rules:
      - NP/AP/AVP: HD
      - S/VP: FV/VG/IV
      - PP: PR
    - Iterative refinement but no complete validation
  - Labeling:
    - GF labels used as dependency labels



# Syntactic Annotation

The resulting DS tree (CoNLL format):



1	Genom	—	PP	PP	—	3	AA
2	skattereformen	—	NN	NN	UTR   SIN   DEF   NOM	1	PA
3	införs	—	VB	VB	PRS   SFO	0	ROOT
4	individuell	—	JJ	JJ	POS   UTR   SIN   IND   NOM	5	AT
5	beskattning	—	NN	NN	UTR   SIN   IND   NOM	3	SS
6	av	—	PP	PP	—	5	ET
7	arbetsinkomster	—	NN	NN	UTR   PLU   IND   NOM	6	PA
8	.	—	MAD	MAD	—	3	IP





# Swedish Treebank 1.1

Layer	T [0.1M]	SUC [1.2M]
PoS+MSF	Gold	Gold
Lemma	Black	Gold
PS+GF	Silver	Bronze
DS	Silver	Bronze

**Gold** = manual validation

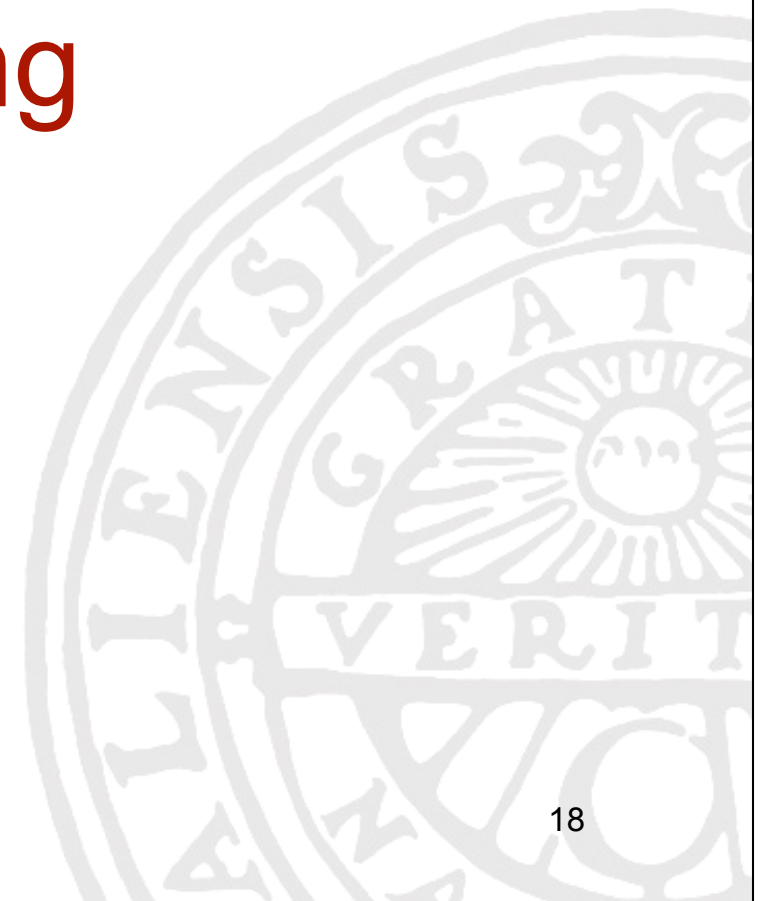
**Silver** = manual validation + conversion

**Bronze** = automatic annotation only



UPPSALA  
UNIVERSITET

# Parsing





# Treebank Parsing

## Goals:

- Develop better parsers (for Swedish)
- Compare different parsing architectures:
  - Representations (**PS+GF** vs. **DS**)
  - Modularization (tagging, parsing, labeling, ...)
  - Models and algorithms

## Fundamental view of parsing:

- Identify syntactic **units** and their **relations**
  - Phrases and grammatical functions in **PS+GF**
  - Heads and dependency relations in **DS**
  - Cross-framework evaluation?



# Work in Progress

## Dependency parsing (**DS**):

- Transition-based parsing (**MaltParser**)
- Impact of linguistic features
- Impact of training data (**silver** or **bronze**)

## Phrase structure parsing (**PS+GF**):

- Treebank PCFGs
- Integration of function labels



# Dependency Parsing

## Transition-based parsing [Nivre 2008]:

- Transition system for deriving dependency trees
- Treebank-induced classifier for predicting transitions
- Parsing as greedy deterministic search

## Basic setup:

- **MaltParser 1.4.1** [<http://maltparser.org>]
- Transition system with online reordering [Nivre 2009]:
  - Ordinary shift-reduce parsing for projective trees
  - Permutation of word order for non-projective trees
  - Non-projective parsing in linear expected time
- Linear multi-class SVMs [Crammer and Singer 2001] using **LIBLINEAR** [Fan et al. 2008] for prediction



# Feature Representation

$[\dots, w_{-2}, w_{-1}, w_0]$        $[w_1, w_2, w_3, \dots]$

Distinction of left and right context (EM/Eprop):

- Different levels of context: positions:

- Dependency labels

- Rightmost dependency label with PoS:
- Trigrams:

- Parts of speech in coordination

- $(w_{-2}, w_{-1}, w_0)$ ,  $(w_{-1}, w_0, w_1)$ ,  $(w_0, w_1, w_2)$ ,  $(w_1, w_2, w_3)$

- Leftmost and rightmost conjoined with PoS:

- $w_{-1}, w_0$



# Feature Representation

Features	LAS	UAS
PoS	65.8	80.0
Dep	67.6	81.9
Lex	78.9	86.0
MSF	79.5	86.1
Dist	79.5	86.2
Prop	79.9	86.2

- Talbanken training set (5k sentences)
- 5-fold cross-validation
- Gold standard annotation as input (PoS, MSF)
- Labeled (LAS) and unlabeled (UAS) attachment score



# Adding More Trees

Training Data	Talbanken	SUC
Talbanken (5k)	79.6	76.9
SUC-5k	74.8	73.3
SUC-75k	78.4	75.3
Talbanken + SUC-5k	79.1	76.3
Talbanken + SUC-75k	78.6	75.5

- Talbanken and SUC training sets
- Talbanken and SUC (development) test sets
- Gold standard annotation as input (PoS, MSF)
- Labeled (LAS) attachment score





# Harvesting the Good Trees

## Warning flags:

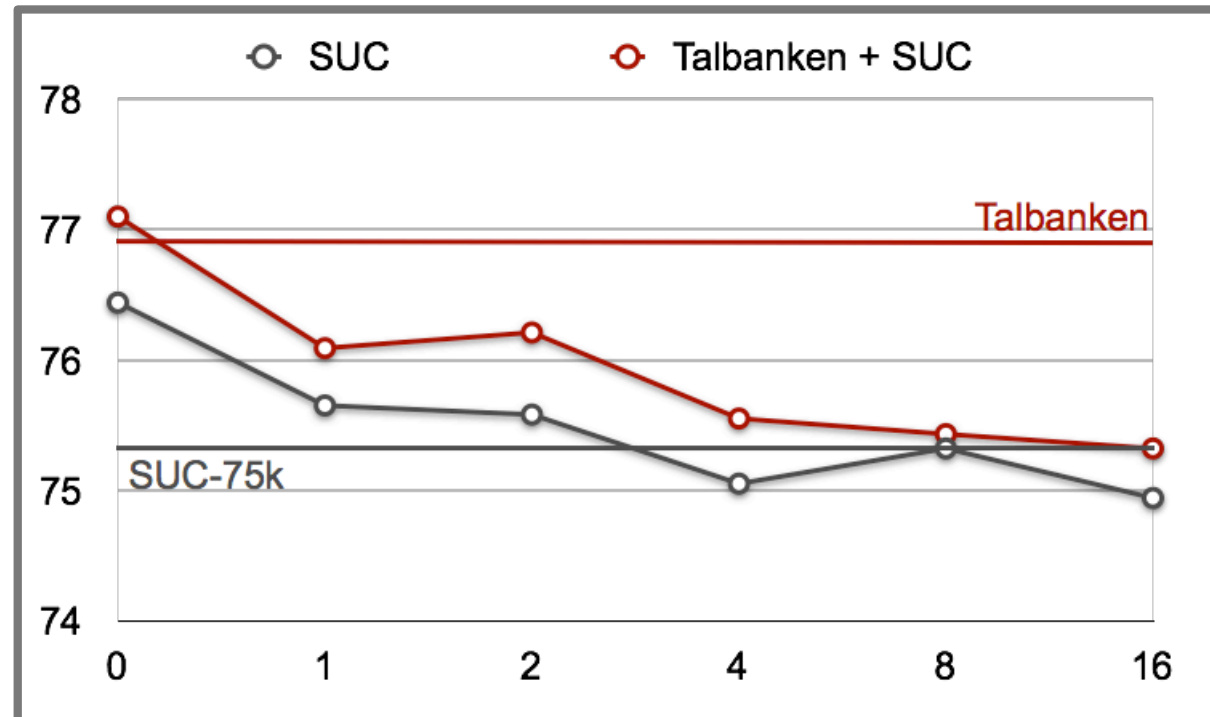
- Automatic annotation of disallowed structures
- Substitute for manual revision in SUC

## Eight flag categories:

- **Unary** Unary branching node
- **Nonterminal** Invalid **PS** label
- **Function** Invalid **GF** label
- **ForbiddenFunction** **GF** incompatible with **PS/PoS**
- **ForbiddenChild** Child with incompatible **GF**
- **ForbiddenSibling** Sibling with incompatible **GFs**
- **ObligatoryChild** Obligatory child **GF** missing
- **ObligatorySibling** Obligatory sibling **GF** missing



# Harvesting the Good Trees



- SUC-42k training sets (with and without Talbanken)
- Random samples with at most  $k$  warning flags
- SUC (development) test set
- Labeled (LAS) attachment score



# Phrase Structure Parsing

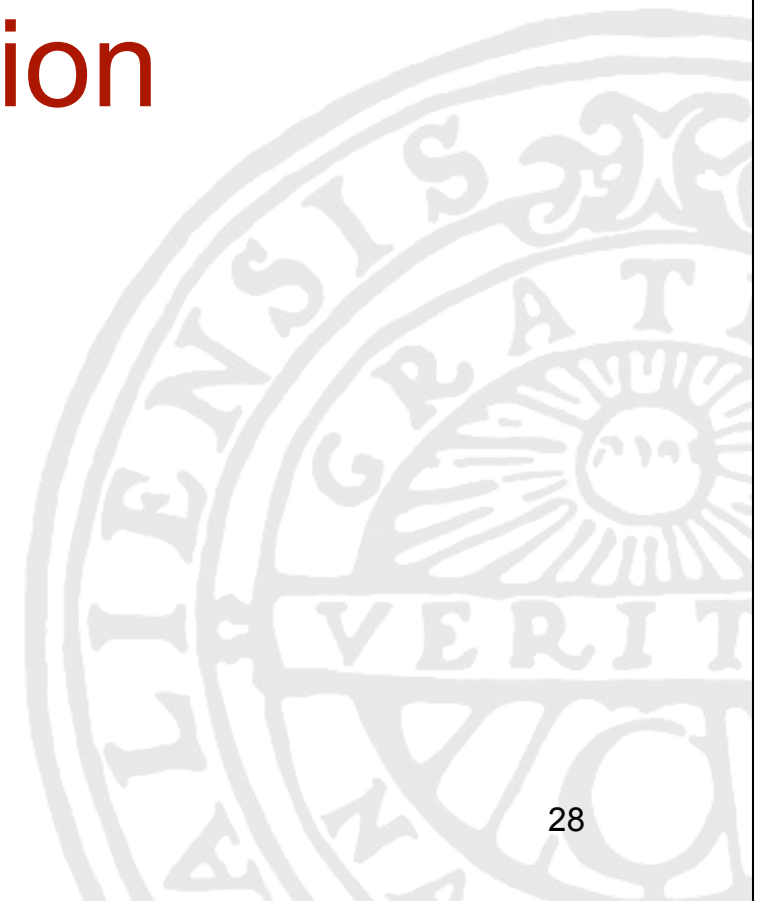
Representation	Gold	Raw
PS	72.3	65.9
PS + GF	74.0	67.4
PS + parent annotation	74.6	68.4

- Talbanken training set (5191 sentences)
- Talbanken (development) test sets
- Treebank PCFG (minimal smoothing)
- With and without gold standard annotation as input (PoS)
- PARSEVAL labeled  $F_1$



UPPSALA  
UNIVERSITET

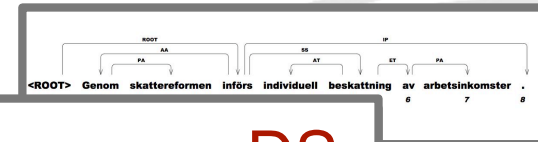
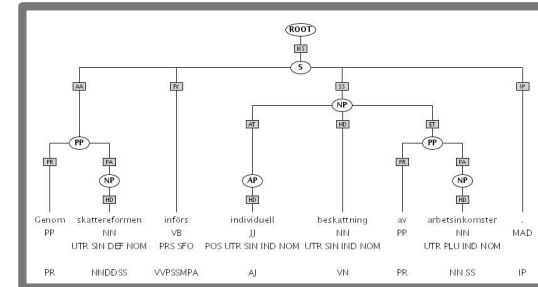
# Evaluation





# The Problem

- Parsing with **PS+GF**
- Parsing with **DS**

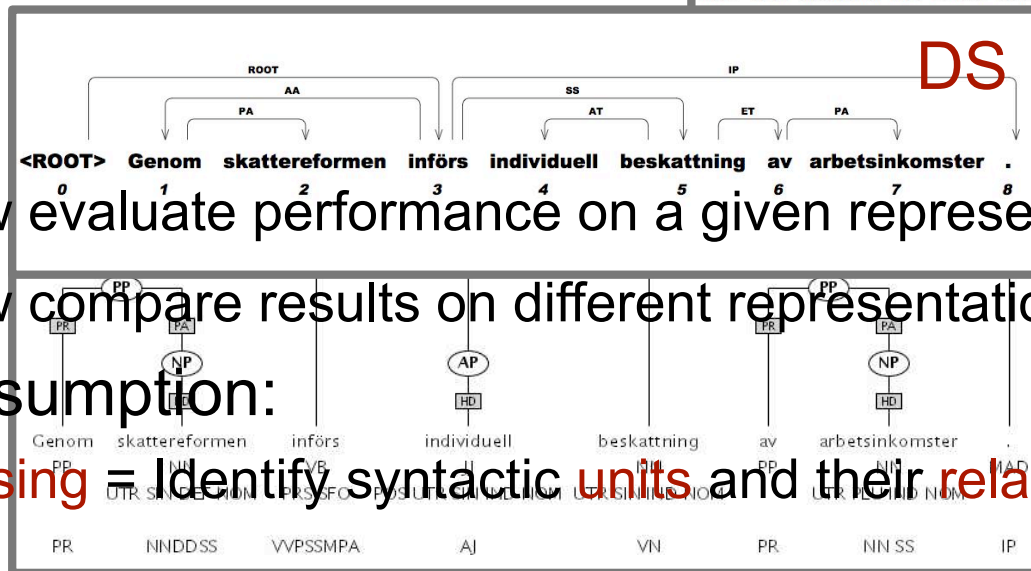


## Issues:

- How evaluate performance on a given representation?
- How compare results on different representations?

## Basic assumption:

- **Parsing = Identify syntactic units and their relations**





# Cross-Framework Evaluation

## Two strategies:

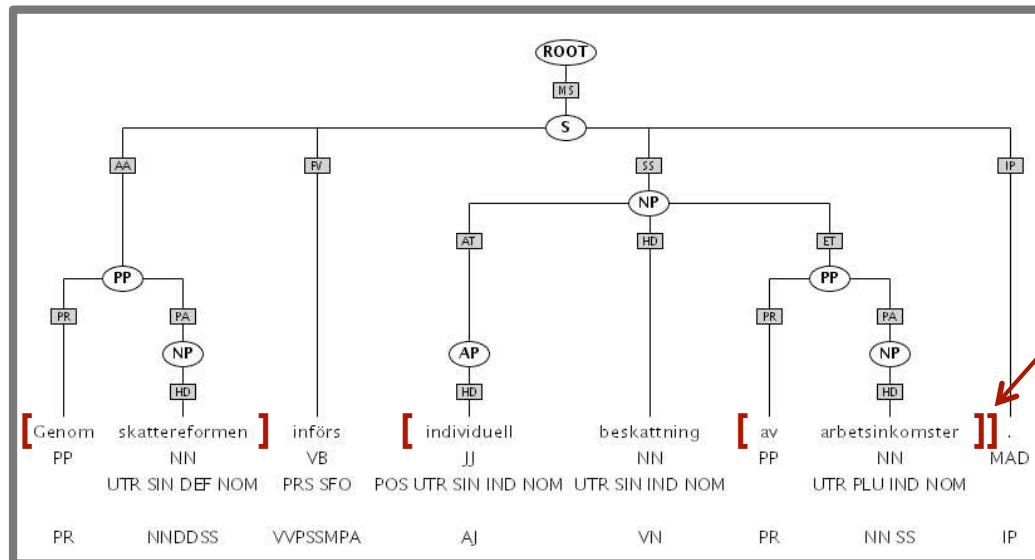
- Abstract over differences in representations
  - PARSEVAL [Black et al. 1991]
  - **Problem:** Metric may be uninformative (or misleading)
- Convert to (other) target representation
  - Labeled dependencies [Lin 1995, Carroll et al. 1998, Cer et al. 2010, Candito et al. 2010]
  - **Problem:** Conversions may be lossy

## Our vision:

- Abstraction to target representation (almost)
- Informative without lossy conversion
- Evaluate capacity to recover **units** and **relations**

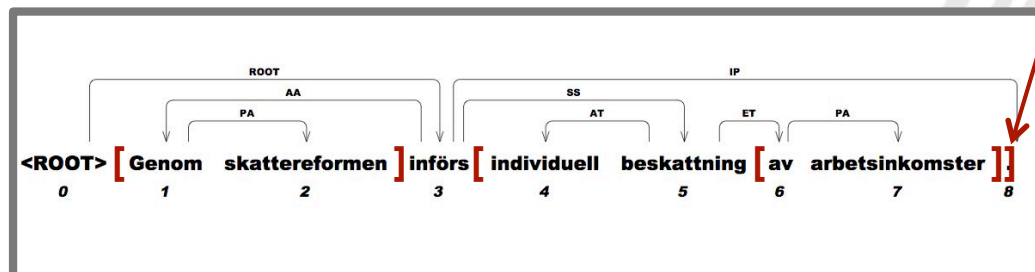


# Spans



Spans

Brackets in PS

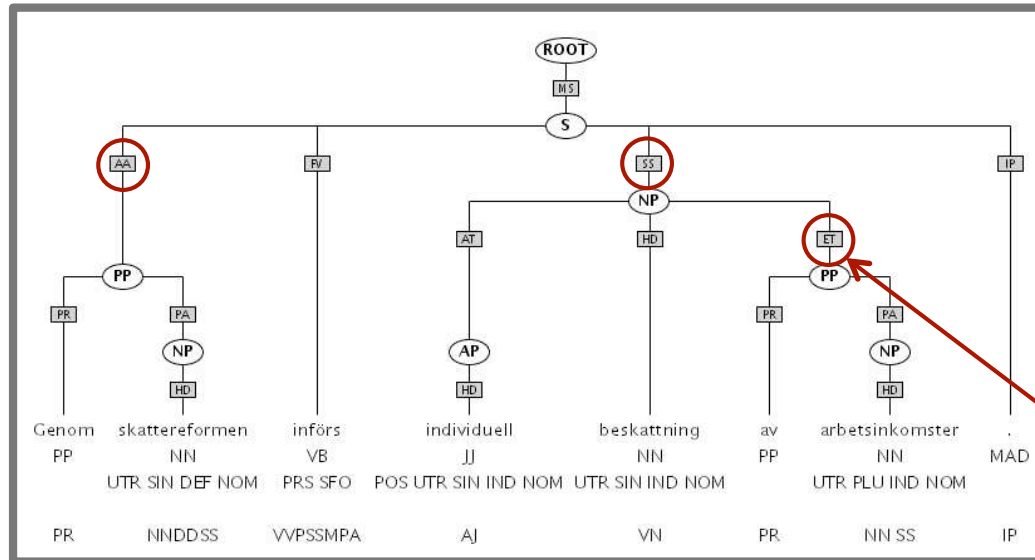


Subtree yields in DS

- No labels – abstraction over **PS+GF**

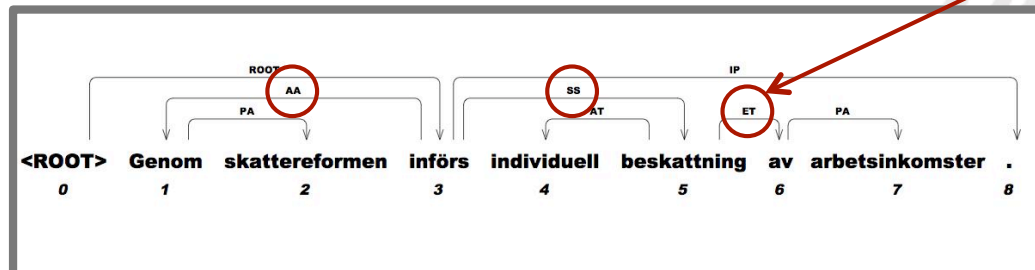


# Relations



Functions in GF

Relations



Dependency  
types in DS

- No heads – abstraction over DS





# Putting It All Together

## Relations of spans to larger spans:

[I think we have compared apples and oranges.]

Sbj[I], Prd[think], Obj[we have compared apples and oranges]

[we have compared apples and oranges]

Sbj[we], Prd[have compared], Obj[apples and oranges]

## Abstraction over:

- Phrase types (not available in DS)
- Syntactic heads (not available in PS+GF)

## Relation filtering allows further abstraction:

- Verb groups – main or auxiliary verb as head
- Coordination – no constraints on internal structure



# Related Work

## Like PARSEVAL:

- Evaluates bracketing of syntactic units
- **Differences:**
  - Adds relations between units
  - Allows functional filtering of units

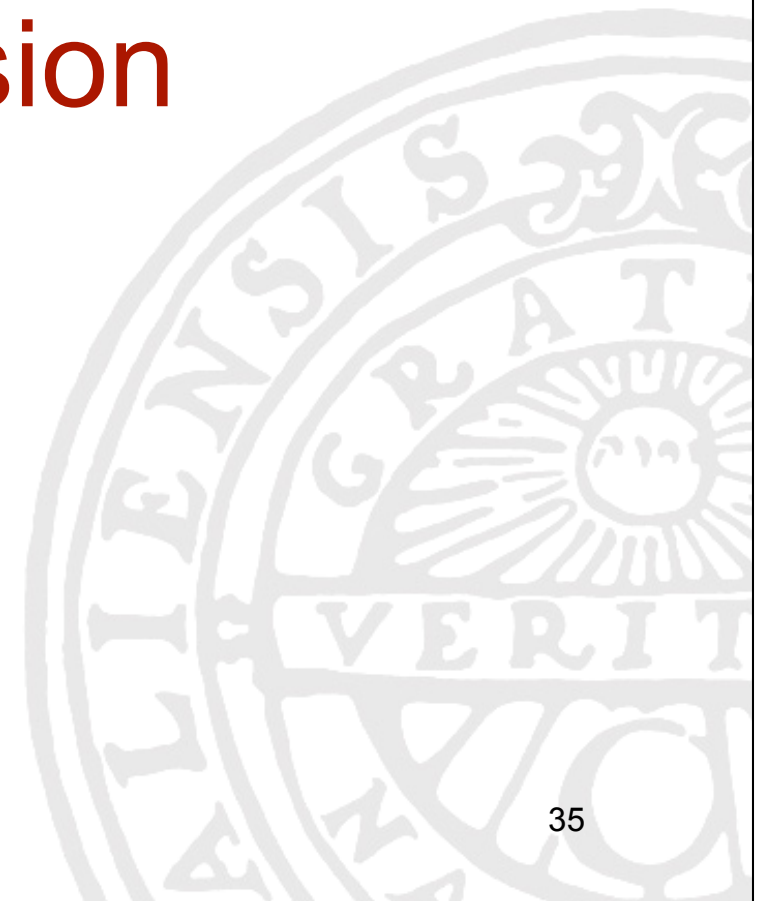
## Like dependency banks:

- Evaluates syntactic relations
- **Differences:**
  - Adds syntactic units (spans)
  - Minimizes the need for conversion



UPPSALA  
UNIVERSITET

# Conclusion





# Harvest Time

## Swedish Treebank 1.1:

- 1.3 million words of written Swedish
- Morphological annotation (**gold**)
- Syntactic annotation (**gold**, silver, **bronze**)

## Next year's crop:

- Further enrichment of annotation
  - Lemmatization in Talbanken
  - Feature propagation to phrase level
- Parsing in multiple frameworks
- Cross-framework evaluation



# References

- Ahrenberg, L. (2007) LinES: An English-Swedish Parallel Treebank. In *Proceedings of the 16th Nordic Conference of Computational Linguistics (NODALIDA)*, 270–273.
- Black, E., Abney, S., Flickinger, D., Gdaniec, C., Grishman, R., Harrison, P., Hindle, D., Ingria, R., Jelinek, F., Klavans, J., Liberman, M., Marcus, M., Roukos, S., Santorini, B. and Strazalkowski, T. (1991). A procedure for quantitatively comparing the syntactic coverage of English grammars. In *Proceedings of the DARPA Workshop on Speech and Natural Language*, 306–311.
- Brants, T. (2000) TnT – a Statistical Part-of-Speech Tagger. In *Proceedings of the 6th Conference on Applied Natural Language Processing (ANLP)*.
- Candito, M., Nivre, J., Denis, P. and Henestroza Anguiano, E. (2010) Benchmarking of Statistical Dependency Parsers for French. In *Proceedings of the 23<sup>rd</sup> International Conference on Computational Linguistics (COLING), Posters*, 108–116.
- Carroll, J., Briscoe, E. and Sanfilippo, A. (1998) Parser Evaluation: A Survey and a New Proposal. In *Proceedings of the 1st International Conference on Language Resources and Evaluation*, 447–454.
- Cer, D., de Marneffe, M.-C., Jurafsky, D. and Manning, C. D. (2010) Parsing to Stanford Dependencies: Trade-offs between Speed and Accuracy. In *Proceedings of the Seventh International Conference on Language Resources and Evaluation (LREC)*.
- Crammer, K. and Singer, Y. (2001) On the Algorithmic Implementation of Multiclass Kernel-based Vector Machines. *Journal of Machine Learning Research* 2, 265–292.
- Einarsson, J. (1976a) Talbankens skriftspråskonkordans. Lund University: Department of Scandinavian Languages.



# References

- Einarsson, J. (1976b) Talbankens talpråkskonkordans. Lund University: Department of Scandinavian Languages.
- Fan, R.-E., Chang, K.-W., Hsieh, C.-J., Wang, X.-R. and Lin, C.-J. (2008) LIBLINEAR: A library for large linear classification *Journal of Machine Learning Research* 9, 1871–1874.
- Forsbom, E. (2006) Big is Beautiful: Bootstrapping a PoS tagger for Swedish. Poster presentation at GSLT retreat, Gullmarsstrand, January 27–29, 2006.
- Gustafson-Capková, S., Samuelsson, Y. and Volk, M. et al. (2007). SMULTRON (version 1.0) – The Stockholm MULtilingual parallel TReebank. <http://www.ling.su.se/dali/research/smultron/index.htm>. An English-German-Swedish parallel treebank with sub-sentential alignments.
- Järborg, J. (1986) Manual för synttaggning. University of Gothenburg: Department of Swedish.
- Kokkinakis, D. (2006) Towards a Swedish Medical Treebank. In Hajic, J. and Nivre, J. (eds.), *Proceedings of the Fifth Workshop on Treebanks and Linguistic Theories*, 199–210.
- Lin, D. (1995) A Dependency-Based Method for Evaluating Broad-Coverage Parsers. In *Proceedings of IJCAI*, 1420–1425.
- McDonald, R. (2006) Discriminative Training and Spanning Tree Algorithms for Dependency Parsing. PhD Thesis, University of Pennsylvania.



# References

- Megyesi, B., Dahlqvist, B., Pettersson, E. and Nivre, J. (2008) Swedish-Turkish Parallel Treebank. In *Proceedings of the Sixth International Conference on Language Resources and Evaluation (LREC)*.
- Megyesi, B., Dahlqvist, B., Csato, E. A. and Nivre, J. (2010) The English-Swedish-Turkish Parallel Treebank. In *Proceedings of the Seventh International Conference on Language Resources and Evaluation (LREC)*.
- Nivre, J. (2008) Algorithms for Deterministic Incremental Dependency Parsing. *Computational Linguistics* 34(4), 513-553.
- Nivre, J. (2009) Non-Projective Dependency Parsing in Expected Linear Time. In *Proceedings of the Joint Conference of the 47th Annual Meeting of the ACL and the 4th International Joint Conference on Natural Language Processing of the AFNLP*, 351-359.
- Nivre, J., Nilsson, J. and Hall, J. (2006) Talbanken05: A Swedish Treebank with Phrase Structure and Dependency Annotation. In *Proceedings of the 5th International Conference on Language Resources and Evaluation (LREC)*, 1392–1395.
- Rayner, M., Carter, D., Bouillon, P., Digalakis, V. and Wirén, M. (2000) *The Spoken Language Translator*. Cambridge University Press.
- Santamarta, L., Lindberg, N. and Gambäck, B. (1995) Towards Building a Swedish Treebank. In *Proceedings of the 10th Nordic Conference of Computational Linguistics*, 37–40.



# Swedish Treebanking

## Pioneering work:

- Talbanken [Einarsson 1976a, 1976b]
- SynTag [Järborg 1986]

## More recent work:

- S-CLE [Santamarta et al. 1995, Rayner et al. 2000]
- Talbanken05 [Nivre et al. 2006]
- MEDLEX [Kokkinakis 2006]
- SMULTRON [Gustafson-Capková et al. 2007]
- LinES [Ahrenberg 2007]
- English-Swedish-Turkish Parallel Treebank [Megyesi et al. 2008, 2010]





# Tokenization and Segmentation

## Harmonization issues:

- Abbreviations and numerical expressions:
  - Always one token in SUC
  - Syntactically informed tokenization in Talbanken
- Sentence segmentation in lists:
  - Always one sentence per list item in SUC
  - Syntactically informed segmentation in Talbanken

## Modifications implemented:

- Talbanken converted to SUC principles
- Completely automatic procedure



# Morphological Annotation

Different tag sets in Talbanken and SUC:

	Talbanken	SUC
<b>PoS tags</b>	47	25
<b>MSF tags</b>	62	25
<b>Complex tags</b>	249	154

Incompatibilities:

- Different distinctions
- Different criteria of application
- No deterministic mapping possible



# Part-of-Speech Categories

- Noun (**NN**)
- Proper noun (**PM**)
- Verb (**VB**)
- Participle (**PC**)
- Adjective (**JJ**)
- Adverb (**AB**)
- Wh-adverb (**HA**)
- Pronoun (**PN**)
- Wh-pronoun (**HP**)
- Possessive (**PS**)
- Wh-possessive (**HS**)
- Preposition (**PP**)
- Verb particle (**PL**)
- Determiner (**DT**)

- Wh-determiner (**HD**)
- Conjunction (**KN**)
- Subjunction (**SN**)
- Infinitive marker (**IE**)
- Cardinal numeral (**RG**)
- Ordinal numeral (**RO**)
- Interjection (**PP**)
  
- Major delimiter (**MAD**)
- Minor delimiter (**MID**)
- Paired delimiter (**PAD**)
  
- Foreign word (**UO**)



# Morphosyntactic Features

## Verbs:

- Tense, Voice, Mood

## Nouns and pronouns:

- Case, Definiteness, Gender, Number

## Adjectives:

- Same as nouns + Comparison

## Participles:

- Same as nouns + Tense

## Adverbs:

- Comparison

## All categories:

- Compound, Abbreviation



# Swedish Treebank 1.1

Data Set	Sentences	Words	W/S
Talbanken training	4 941	75 970	15.4
Talbanken test	1 219	20 376	16.7
SUC training	72 674	1 143 274	15.7
SUC test	1 569	23 319	14.9

Statistics for different subsets of the Swedish Treebank:

- Number of sentences
- Number of words
- Average number of words per sentence



# Changing the Parser

Training Data	Talbanken	SUC
Talbanken (5k)	79.6 (79.6)	74.9 (76.9)
SUC-5k	74.0 (74.8)	73.1 (73.3)
SUC-75k	77.7 (78.4)	75.1 (75.3)
Talbanken + SUC-5k	79.3 (79.1)	75.5 (76.3)
Talbanken + SUC-75k	79.5 (78.6)	75.4 (75.5)

- Talbanken and SUC training sets
- Talbanken and SUC (development) test sets
- Gold standard annotation as input (**PoS**, **MSF**)
- Labeled (**LAS**) attachment score
- **MSTParser** (2nd order, non-projective) [McDonald 2006]



# Open Issues

## Metrics:

- How define metrics for partial matches?
- Three types of errors:
  - Span
  - Relation
  - Domain (larger span)

## Spans:

- Flat vs. deeply nested structures
- Incompatible spans

## Relations:

- Recovery of relations for syntactic heads
- Long-distance dependencies